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(21) International Application Number: PCT/US98/24363 (22) International Filing Date: 12 November 1998 (12.11.98) (30) Priority Data: 60/065,705 14 November 1997 (14.11.97) US (71) Applicant: CALIFORNIA INSTITUTE OF TECHNOLOGY [US/US]; 1200 East California Boulevard, Pasadena, CA 91125 (US). (72) Inventors: TAI, Yu-Chong; 369 S. Grand Oaks, Pasadena, CA 91107 (US). LEE, Sang-Wook; 2147 Camellia Lane, Fullerton, CA 92833 (US). (74) Agent: HARRIS, Scott, C.; Fish & Richardson P.C., Suite 1400, 4225 Executive Square, La Jolla, CA 92037 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: CELL LYSIS DEVICE (57) Abstract A micromachined cell lysis device with electrodes that are spaced by less than 10 μm from one another. The cells are attracted to the space between the electrodes and then lysed.		

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CELL LYSIS DEVICEStatement as to Federally Sponsored Research

The U.S. Government may have certain rights in
5 this invention pursuant to Grant No. N66001-96-C-8632
awarded by the U.S. Navy.

Background

It is known that an electrical field can be used
to manipulate cells. Electrical manipulation of cells
10 can be used for separating cells, holding cells, killing
micro-organisms, or other operations.

Electrical manipulation of a cell is based on
dielectrophoresis. A neutral particle, such as a
microbial cell, will become polarized when subjected to a
15 non-uniform electric field. Due to the non-uniformity of
the field, a net force will act on the particle. This
force will produce movement of the suspended cell. This
phenomenon known as dielectrophoresis. the inside of the
cell has and holds a different charge than the outside of
20 the cell.

Macro sized electroporation systems have been
designed for injecting genes into cells. See,
"Electroporation and Electrofusion in Cell Biology," E.
Newman, A. E. Sauer, C. A. Jordan, ed. Plenum Press, New
25 York, 1989. These systems often use electrical fields to
make micro-sized pores on cell membranes.

Cell lysis typically refers to opening a cell
membrane to allow the cell interior to come out. Cell
lysing can be used to obtain intracellular material for
30 further analysis such as DNA identification.

It is known to use the science of micromachining

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to manipulate cells. See, for example, S. Lee, "A Study of Fabrication and Applications of Micromachined Cell Manipulating Devices," Ph.D. Thesis, Seoul National University, pp. 77-81, 1996. However, no one has previously reported using micromachining to form a device for cell lysis. Usually, these systems use cuvetts that have a few millimeter range electrode gap. Lysing cells with this kind of size requires a few kilovolts of voltage source across such a gap.

Prior cell lysing has been reported using pulsed electric fields in a macrosized electroporation system. See, for example, T. Grahl and H. Markl, "Killing of Microorganisms by Pulsed Electric Fields," Appl. Microbio. Biotechnol., 45, pp. 148-157, 1996. The disadvantages of such a macrosized device have been described above.

J. Cheng, et al, "Preparation and Hybridization analysis of DNA/RNA from E. Coli on Microfabricated Bioelectronic Chips" has suggested electronic cell lysis on a chip. However, this system still required hundreds of volts for lysing the cell.

Summary

The present disclosure describes a new micromachined cell lysis device. A micro-sized cell lysis device as disclosed reduces the size of the entire system including the power source, since the electrode gap could be reduced to a few μm or smaller. This micro-sized cell lysis device is capable of operating on a small number of cells due to its small size.

A special way of using the electric field that can greatly simplify the purification steps is described. This can be used to prepare biosamples. In addition, the small size allows a reduction in voltage required for

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lysing. The voltage can be reduced to practical levels, e.g., less than 50 volts, since the electrode gap is on the order of microns.

5 A new structure is also described for cell lysis.

Brief Description of the Drawing

These and other advantages will now be described in detail with respect to the accompanying drawings, wherein:

10 FIG. 1 shows a schematic view of the overall cell lysis device;

FIG. 1B shows a top view of the cell lysis electrode;

15 FIGS. 2A-2D show the fabrication steps of the cell lysis device;

FIG. 3 shows a photograph of a fabricated device;

FIG. 4 shows schematically the power system used for cell lysis;

FIG. 5 shows a plot of a waveform for cell lysis;

20 FIG. 6 shows drawings of yeast cells before and after lysing;

FIG 7 shows a plot of lysis vs voltage.

Description of the Preferred Embodiments

The basic lysis device is shown in plan view in 25 FIGS. 1 and 1B. The device is made according to the fabrication steps explained below with reference to FIGS. 2A - 2D.

The micromachining operates to form features on a silicon substrate.

30 First an insulator is formed on the silicon substrate, by oxidizing the silicon substrate 200 to form a thermally-grown 5000 Å silicon oxide layer 201 as shown

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in Figure 2A. Chromium/gold (Cr/Au) is thermally evaporated and patterned to form electrodes 202 on the oxidized surface. The electrodes are formed with a number of pointed portions facing one another, in the general shape shown in Fig. 1B.

A 4 μm thick Parylene layer is deposited and patterned to form Parylene barriers 210 as shown in FIG. 2C. These barriers have side surfaces that hold the cell in a proper place, and form blocks between each pair of electrode surfaces.

FIG. 2D shows bonding the thus-made assembly to a glass substrate which has an inlet 220, an outlet 222, and a channel 230 between the inlet and outlet. The channel is 30 μm high, made by timed wet etching.

The preferred device is designed for yeast cells. The distance between electrodes is hence around 5 μm . More generally, the distance can range between about 0.8 μm and 100 μm (0.1mm), more preferably on the order of e.g. 1-9.9 μm .

The final assembled device is shown in FIG. 1. A number of cells are shown, such as cell 102. Cells are attracted by the dielectrophoretic force using an AC voltage. The cells are then lysed, using pulsed electric fields. The AC voltage depends on the conductivity and permittivity of the cell suspensions and the sizes of the cells. The cells are held between two electrodes 110, 112 and between the Parylene barriers 120, 122 for the lysing.

Any arrangement of pairs of electrodes, such as interdigitated or parallel, can be used for the cell lysing. Preferably, the edges of the electrodes are made sharp as shown in order to concentrate the field better on the cells. The nearest distance 114 between the two electrodes is preferably equal to the mean diameter of a cell plus the standard deviation of the cells in order to

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obtain the most effective lysing.

FIG. 1A shows a drawing of the electrode without the Parylene barriers present showing interdigitated electrodes. Distance 114 is defined as the distance between the sharp ends of the electrodes.

Figure 3 shows a drawing of the device from the top, showing all the arrangements of the various structures.

10 An important feature includes how the device is operated. A power system for the cell lysis is formed as shown in FIG. 4. Control is selected by a switch 400 which selects between manual mode or automatic mode. In the manual mode, the pulse is applied by a push-button switch 402. In the automatic mode, pulses are supplied at every defined interval. Pulse width control is provided by a multivibrator 410, typically a TTL-type multivibrator, part 74LS123. The switch 400 can be a single-pull, double-throw type relay.

20 A multipurpose function generator 420 provides the electric fields which attracts the cells. The electric field is preferably a sinusoidal wave. A power MOSFET 422 provides the output to the cell lysis device 100.

25 A typical waveform is shown in FIG. 5, which shows a sample plot of the waveform for cell lysis. The waveform includes two parts - the attraction phase 500, and the lysing phase 502.

The attraction phase uses a 6 volt AC, 2 MHZ sample. This attracts the cells to the lysing locations. A sinusoidal wave is preferably used to attract the cell to the location. After a short delay, lysing pulse, a 100 μ s, 20 volt pulse, is applied. FIGs. 6A and 6B show the yeast cells before and after applying the pulsed voltage. FIG. 6A shows attraction of the yeast cells to the electrode when the 2 MHZ 6V AC voltage in FIG. 5 is

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applied. Figure 6B shows the result of lysing. After lysing the cells, the inside and outside of the cells are electrically connected, and they will no longer attract
5 to the electrodes by the AC voltage.

FIG. 7 shows some representative lysing rates with different electric fields and pulse durations. The rate is increased with increased voltage and duration. Excessive pulse voltage and duration form electrolysis
10 effects. The optimum value for yeast cell lysing is believed to occur at 100 μ s and 20V. However, any voltage less than 50 volts is preferred and within the preferred embodiment.

Although only a few embodiments have been
15 described in detail above, other embodiments are contemplated by the inventor and are intended to be encompassed within the following claims. In addition, other modifications are contemplated and are also intended to be covered. For example, other shapes and
20 sizes of electrodes could be used. There could also be more than two electrodes. While the pointed electrodes are preferred, flat shaped electrodes can also be used.

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CLAIMS

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What is claimed is:

1. A micromachined cell lysis device,
comprising:
a silicon substrate;
an insulator, covering at least a portion of
5 said silicon substrate;
at least two electrodes, formed on said
insulator, and between which an applied electric field
can be provided; and
a distance between said electrodes being less
10 than 100 μm .
2. A device as in claim 1 wherein said
electrodes have sharp edges, and a distance between said
sharp edges is less than 100 μm .
3. A device as in claim 2 further comprising at
least two cell blocker elements, providing physical
barriers which extend to hold a cell into place at a
desired location between said sharp edges of said two
5 electrodes.
4. A device as in claim 1, wherein said distance
is less than 10 μm .
5. A system as in claim 4 wherein a distance
between sharp points of said two electrodes is
5 substantially a mean diameter of a desired cell plus a
standard deviation among cells.
6. A device as in claim 4 wherein a distance
between electrodes is 5 μm .

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7. A device as in claim 3, wherein said cell blocker elements are formed of Parylene.

8. A method of forming a cell lysis device using micromachining techniques, comprising:

- 5 obtaining a substrate;
 forming two desired electrode patterns on the substrate, with a distance between said two desired electrode patterns of less than 100 μm ; and
 forming blocks for the cells to hold the
10 cells at a location between said electrodes.

9. A method as in claim 8, wherein said forming comprises forming an electrode pattern including sharp edges, and wherein a distance between said sharp edges is less than 100 μm .

15

10. A method as in claim 8, further comprising:
 applying an AC lower voltage between said electrodes to attract a cell to a spot between said electrodes; and
20 then, after said cell is attracted, applying a spike of DC voltage, to lyse said cell.

11. A method as in claim 10, wherein said AC voltage is 6 volts AC, and said DC voltage is 20 V DC.

- 25 12. A method as in claim 9, wherein said distance is less than 10 μm .

13. A method as in claim 9, wherein a distance between sharp points of said two electrodes is substantially a mean diameter of a desired cell plus a
5 standard deviation among cells.

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14. A method as in claim 12, wherein said cell blocker elements are formed of Parylene.

15. A method of lysing a cell, comprising:
obtaining a cell lysis device on a silicon
5 substrate which includes two desired electrode patterns
on the substrate, with a distance between said two
desired electrode patterns of less than 100 μm ; and
applying a first AC lower voltage between
said electrodes to attract a cell to a spot between said
10 electrodes; and
then, after said cell is attracted, applying
a spike of DC higher voltage, to lyse said cell, wherein
each of said voltages is less than 50 volts.

16. A method as in claim 15, wherein each of said
15 voltages is less than or equal to 20 volts.

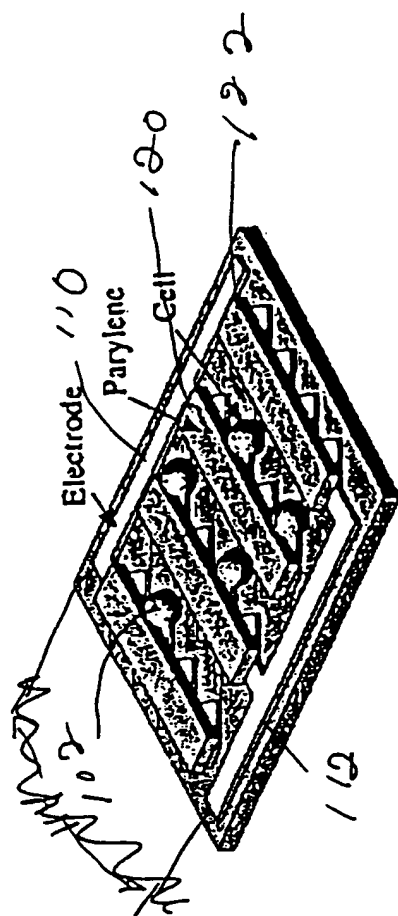


Fig. 1 Schematic view of cell lysis device

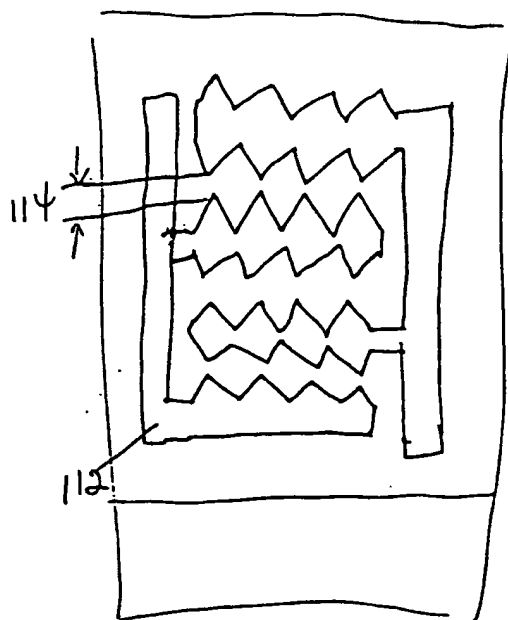


FIG 1B

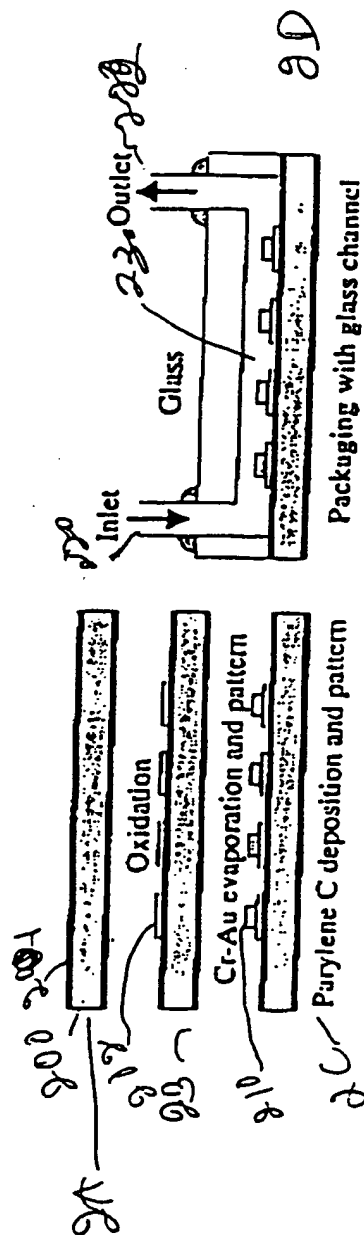


Fig. 2 Fabrication steps and fully packaged device.

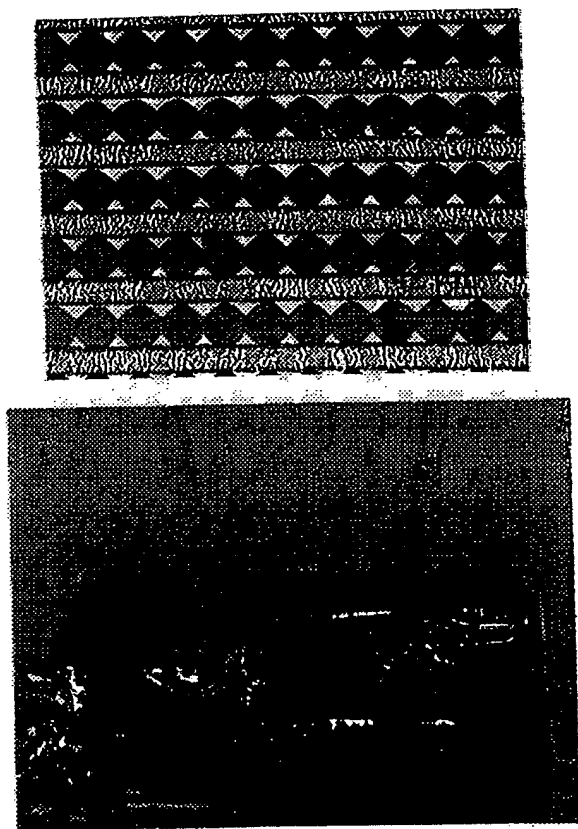


Fig. 3. Photograph of a fabricated device

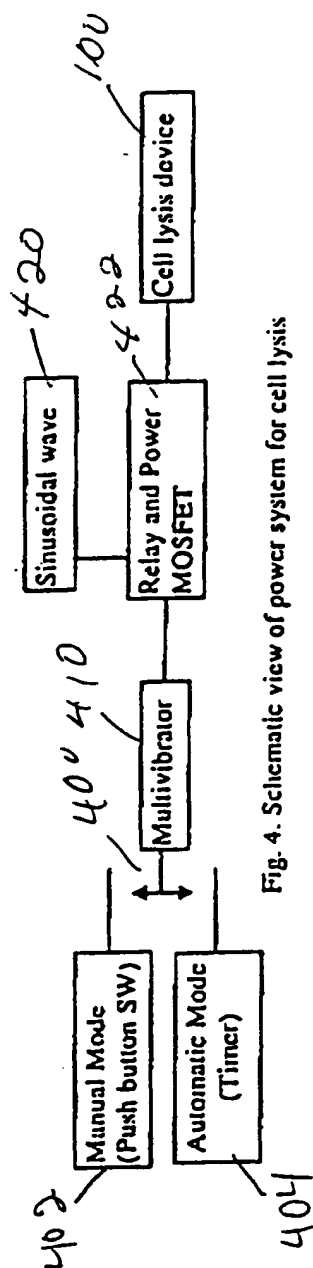


Fig. 4. Schematic view of power system for cell lysis

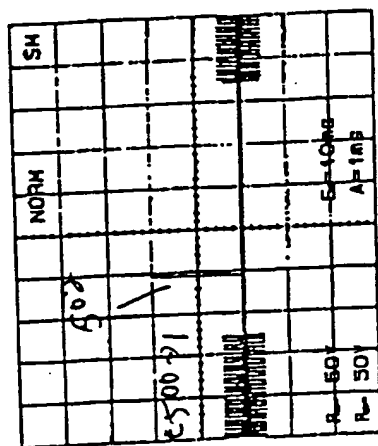
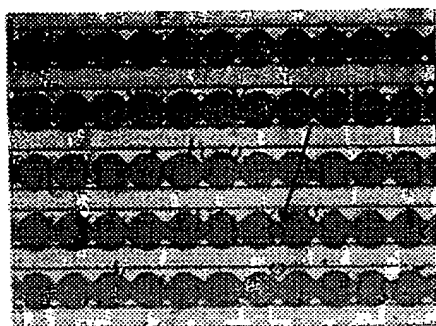
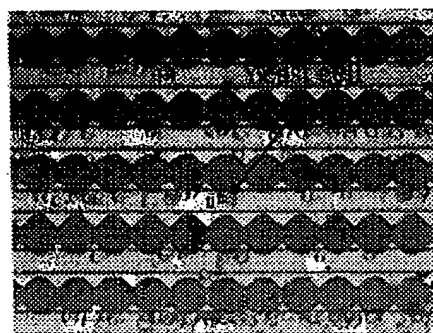


Fig. 5. Plot of waveform for cell lysis



(a) Before pulsed voltage.



(b) After pulsed voltage.

Fig 6

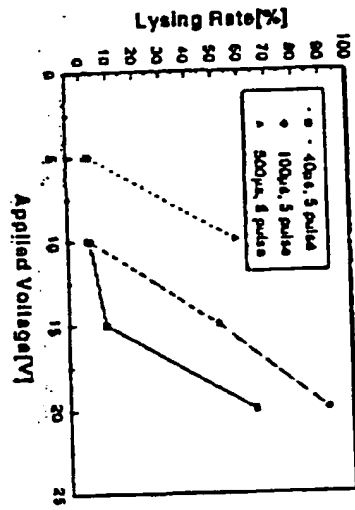


Fig. 7. Plot of lysing rate for yeast cells

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/24363

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12N 13/00; C12C 1/00

US CL : 435/173.1, 173.7, 306.1; 204/194, 280, 600

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/173.1, 173.7, 306.1; 204/194, 280, 600

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, STN

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,971,910 A (ZIMMERMANN) 20 November 1990, see Figures and col. 5, lines 29-62.	1, 4-6, and 8
Y	US 4,832,814 A (ROOT) 23 May 1989, col.4, line 6 - col. 8, line 34.	1, 4-6, and 8

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Authorized officer

CHRISTOPHER TATE

Telephone No. (703) 308-0196